FEE TRANSMITTAL



Attorney Docket No. 11287.105001

This sheet accompanies a patent application transmittal for the following application:

Inventor(s):

Jun Li et al. March 9, 2004

Filing Date: Application No. 10/796,902

Title:

Microcapsules for Encapsulation of Bioactive Substances

The filing fee is calculated as shown below:

1. FILING FEE:

	SMALL ENTITY		LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
UTILITY FILING FEE	\$385		\$770	770
UTILITY SEARCH FEE	\$250		\$500	
UTILITY EXAMINATION FEE	\$100		\$200	
PROVISIONAL FILING FEE	\$100		\$200	
DESIGN FILING FEE	\$100		\$200	
DESIGN SEARCH FEE	\$50		\$100	
DESIGN EXAMINATION FEE	\$65		\$130	
REISSUE FILING FEE	\$150		\$300	
REISSUE SEARCH FEE	\$250		\$500	
REISSUE EXAMINATION FEE	\$300		\$600	
APPLICATION SIZE FEE (If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).	\$125		\$250	
SUBTOTAL (1)		\$		\$770

2. CLAIMS:

SMALL ENTITY

LARGE ENTITY

SUBTOTAL (2)		\$		\$400		
MULTIPLE DEPENDENT CLAIM PRESENTED +180 =		+180 =		+360 =		
INDEP. CLAIMS	4 - 3 =	1	x 100 =		x 200 =	200
TOTAL CLAIMS	24 - 20 =	4	x 25 =		x 50 =	200
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE

3. ADDITIONAL FEES:

SMALL ENTITY

LARGE ENTITY

	SUBTOTAL (3)	\$		\$2290
OTHER – Extension Fee (5 months)	\$1080		\$2160	2160
NON-ENGLISH SPECIFICATION	\$130		\$130	
□ LATE FILING, FEE OR OATH	\$65		\$130	130
FOR:	FEE	FEE PAID	FEE	FEE PAID

FEE TRANSMITTAL

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Application No. 10/796,902

TOTAL FILING FEES: \$3460

A check is enclosed for the amount: \$1730

☑ The Commissioner is hereby authorized to charge \$1730 to Deposit Account No. 11-0980.

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 or 1.17, or to credit any overpayment, to Deposit Account No. 11-0980. A duplicate of this document is enclosed.

Date: December 30, 2004

KING & SPALDING LLP

45th floor, 191 Peachtree Street, N.E.

Atlanta, Georgia 30303 Telephone: 404.572.4600

By:

Madeline I. Johnston, Attorney for Applicant Reg. No. 36,174



TRANSMITTAL OF MISSING PARTS

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Transmittal of Missing Parts, along with any papers referred to as being attached or enclosed, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the below-identified date.

Deposit Date: December 30, 2004

Application No.: 10/796,902

Docket No.: <u>11287.105001 AST100</u>

Minikia D. Blair

minika plan

Paralegal



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/796,902
Applicant : Jun Li et al.
Filed : March 9, 2004
TC/A.U. : 1600/1615
Examiner : Unassigned

Title : Microcapsules for Encapsulation of Bioactive Substances

Docket No. : 11287.105001 AST100

Customer No.: 20786

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

December 30, 2004

TRANSMITTAL OF MISSING PARTS RESPONSE

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed from the United States Patent and Trademark Office June 3, 2004, for which a response is due on January 3, 2005, with a five month extension of time requested herewith, Applicants submit the following documents:

- 1. Notice to File Missing Parts Part 2;
- 2. Declaration
- 3. Petition for Five-Month Extension of Time, including duplicate;
- 4. Fee Transmittal, including duplicate;
- 5. Check No. 455527 for \$1,730.00 to cover payment of the large entity basic filing fee, surcharge, extra claims, and partial payment of extension fee;
- 6. Certificate of Mailing; and,
- 7. Postcard.

It is believed that the submission of these documents and fee constitutes a complete response to the Notice. Applicants Petition for any additional required relief and the Commissioner is authorized to charge any additional fees, should they be due, and to credit any overpayment to Deposit Account No. 11-0980.

Applicants are not claiming small entity status as erroneously indicated on the Filing Receipt of June 3, 2004.

Respectfully submitted,

KING & SPALDING LLP

Madeline I. Johnston

Reg. No. 36,174 Tel.: (404) 572-4600

December 30, 2004 King & Spalding LLP 191 Peachtree Street 45th Floor Atlanta, GA 30303-1763



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

PHUMPA, OR

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/796,902

03/09/2004

Jun Li

AST100

Madeline I. Johnston King & Spalding, LLP 191 Peachtree Street Atlanta, GA 30303-1763

CONFIRMATION NO. 1631 FORMALITIES LETTER *OC000000012850096* *OC00000012850096*

Date Mailed: 06/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

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FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

20000 6 **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$79 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$529 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$79



\$36 for 4 total claims over 20.

Replies should be mailed to: Mai

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE